

Construction of the control function for the global exact controllability and further estimates

Alessandro Duca

Université Bourgogne Franche-Comté

Politecnico di Torino
Università di Torino
SPHINX team Inria-Nancy

27th June 2017

1-D bilinear Schrödinger equation

Let in $\mathcal{H} := L^2((0, 1), \mathbb{C})$:

$$\begin{cases} i\partial_t \psi(t, x) = A\psi(t, x) + u(t)B\psi(t, x), \\ \psi(0, x) = \psi^0(x). \end{cases} \quad (\text{BSE})$$

- $A = -\Delta$, $D(A) := H^2 \cap H_0^1((0, 1), \mathbb{C})$,
- B is a bounded symmetric operator,
- $u \in L^2((0, T), \mathbb{R})$.

1-D bilinear Schrödinger equation

Let in $\mathcal{H} := L^2((0, 1), \mathbb{C})$:

$$\begin{cases} i\partial_t \psi(t, x) = A\psi(t, x) + u(t)B\psi(t, x), \\ \psi(0, x) = \psi^0(x). \end{cases} \quad (\text{BSE})$$

- $A = -\Delta$, $D(A) := H^2 \cap H_0^1((0, 1), \mathbb{C})$,
- B is a bounded symmetric operator,
- $u \in L^2((0, T), \mathbb{R})$.

Goal: Exhibit a time and a control for the global exact controllability of (BSE).

Remarkable Literature

- Local Exact Controllability: [Beauchard and Laurent \(2010\)](#).

Remarkable Literature

- Local Exact Controllability: Beauchard and Laurent (2010).
- (Simultaneous and non) Global Approximate Controllability: Chambrion, Mason, Sigalotti and Boscain (2009); Boscain, Caponigro, Chambrion and Sigalotti (2012).

Remarkable Literature

- Local Exact Controllability: Beauchard and Laurent (2010).
- (Simultaneous and non) Global Approximate Controllability: Chambrion, Mason, Sigalotti and Boscain (2009); Boscain, Caponigro, Chambrion and Sigalotti (2012).
- Global Exact Controllability: Morancey and Nersesyan (2014).

Remarkable Literature

- Local Exact Controllability: Beauchard and Laurent (2010).
- (Simultaneous and non) Global Approximate Controllability: Chambrion, Mason, Sigalotti and Boscain (2009); Boscain, Caponigro, Chambrion and Sigalotti (2012).
- Global Exact Controllability: Morancey and Nersesyan (2014).
- Simultaneous Global Exact Controllability: Morancey and Nersesyan (2015), D. (2017).

Global Exact Controllability: Common approach

Let \mathcal{M} a subspace of \mathcal{H} , Γ_t^u be the unitary propagator of (BSE) and $\{\phi_j\}_{j \in \mathbb{N}}$ a basis of eigenfunctions of A .

Global Exact Controllability: Common approach

Let \mathcal{M} a subspace of \mathcal{H} , Γ_t^u be the unitary propagator of (BSE) and $\{\phi_j\}_{j \in \mathbb{N}}$ a basis of eigenfunctions of A .

Global approximate controllability: Let any $\psi \in \mathcal{M}$, $\epsilon > 0$, $\exists T, u$, s.t. $\|\Gamma_T^u \psi - \phi_1\|_{\mathcal{M}} < \epsilon$.

Global Exact Controllability: Common approach

Let \mathcal{M} a subspace of \mathcal{H} , Γ_t^u be the unitary propagator of (BSE) and $\{\phi_j\}_{j \in \mathbb{N}}$ a basis of eigenfunctions of A .

Global approximate controllability: Let any $\psi \in \mathcal{M}$, $\epsilon > 0$, $\exists T, u$, s.t. $\|\Gamma_T^u \psi - \phi_1\|_{\mathcal{M}} < \epsilon$.



Global Exact Controllability: Common approach

Let \mathcal{M} a subspace of \mathcal{H} , Γ_t^u be the unitary propagator of (BSE) and $\{\phi_j\}_{j \in \mathbb{N}}$ a basis of eigenfunctions of A .

Global approximate controllability: Let any $\psi \in \mathcal{M}$, $\epsilon > 0$, $\exists T, u$, s.t. $\|\Gamma_T^u \psi - \phi_1\|_{\mathcal{M}} < \epsilon$.

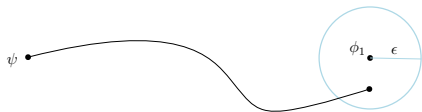


Local exact controllability: For ϵ small enough $\exists \tilde{T}, \tilde{u}$ s.t. $\Gamma_{\tilde{T}}^{\tilde{u}} \Gamma_T^u \psi = \phi_1$.

Global Exact Controllability: Common approach

Let \mathcal{M} a subspace of \mathcal{H} , Γ_t^u be the unitary propagator of (BSE) and $\{\phi_j\}_{j \in \mathbb{N}}$ a basis of eigenfunctions of A .

Global approximate controllability: Let any $\psi \in \mathcal{M}$, $\epsilon > 0$, $\exists T, u$, s.t. $\|\Gamma_T^u \psi - \phi_1\|_{\mathcal{M}} < \epsilon$.



Local exact controllability: For ϵ small enough $\exists \tilde{T}, \tilde{u}$ s.t. $\Gamma_{\tilde{T}}^{\tilde{u}} \Gamma_T^u \psi = \phi_1$.



Novelties of the work

Let $H_{(0)}^s := D(A^{\frac{s}{2}})$, $\|\cdot\|_{H_{(0)}^s} = \left(\sum_{k=1}^{\infty} |k^s \langle \cdot, \phi_k \rangle|^2\right)^{\frac{1}{2}}$.

Novelties of the work

Let $H_{(0)}^s := D(A^{\frac{s}{2}})$, $\|\cdot\|_{H_{(0)}^s} = \left(\sum_{k=1}^{\infty} |k^s \langle \cdot, \phi_k \rangle|^2\right)^{\frac{1}{2}}$.

1) $\forall \phi_j, \phi_k$, we construct $T_n, u_n \in L((0, T_n), \mathbb{R})$ s.t

$$\exists \theta \in \mathbb{R} \quad : \quad \lim_{n \rightarrow \infty} \|\Gamma_{T_n}^{u_n} \phi_j - e^{i\theta} \phi_k\|_{H_{(0)}^3} = 0.$$

Novelties of the work

Let $H_{(0)}^s := D(A^{\frac{s}{2}})$, $\|\cdot\|_{H_{(0)}^s} = (\sum_{k=1}^{\infty} |k^s \langle \cdot, \phi_k \rangle|^2)^{\frac{1}{2}}$.

1) $\forall \phi_j, \phi_k$, we construct $T_n, u_n \in L((0, T_n), \mathbb{R})$ s.t

$$\exists \theta \in \mathbb{R} \quad : \quad \lim_{n \rightarrow \infty} \|\Gamma_{T_n}^{u_n} \phi_j - e^{i\theta} \phi_k\|_{H_{(0)}^3} = 0.$$

2) We exhibit r s.t. the **local exact controllability** is verified in $B_{H_{(0)}^3}(\phi_k, r)$. We also estimate $n^* \in \mathbb{N}$, $u \in L^2((0, \frac{4}{3\pi}), \mathbb{R})$ s.t.

$$\Gamma_{T_{n^*}}^{u_{n^*}} \phi_j \in B_{H_{(0)}^3}(e^{i\theta} \phi_k, r), \quad \Gamma_{\frac{4}{3\pi}}^u \Gamma_{T_{n^*}}^{u_{n^*}} \phi_j = e^{i\theta} \phi_k.$$

Novelties of the work

Let $H_{(0)}^s := D(A^{\frac{s}{2}})$, $\|\cdot\|_{H_{(0)}^s} = (\sum_{k=1}^{\infty} |k^s \langle \cdot, \phi_k \rangle|^2)^{\frac{1}{2}}$.

1) $\forall \phi_j, \phi_k$, we construct $T_n, u_n \in L((0, T_n), \mathbb{R})$ s.t

$$\exists \theta \in \mathbb{R} : \lim_{n \rightarrow \infty} \|\Gamma_{T_n}^{u_n} \phi_j - e^{i\theta} \phi_k\|_{H_{(0)}^3} = 0.$$

2) We exhibit r s.t. the **local exact controllability** is verified in $B_{H_{(0)}^3}(\phi_k, r)$. We also estimate $n^* \in \mathbb{N}$, $u \in L^2((0, \frac{4}{3\pi}), \mathbb{R})$ s.t.

$$\Gamma_{T_{n^*}}^{u_{n^*}} \phi_j \in B_{H_{(0)}^3}(e^{i\theta} \phi_k, r), \quad \Gamma_{\frac{4}{3\pi}}^u \Gamma_{T_{n^*}}^{u_{n^*}} \phi_j = e^{i\theta} \phi_k.$$

3) $\exists T_1 > 0$ s.t.

$$\Gamma_{\frac{4}{3\pi}}^u \Gamma_{T_{n^*}}^{u_{n^*}} \Gamma_{T_1}^0 \phi_j = \phi_k.$$

Main result

Let us present the main result in a specific case.

Main result

Let us present the main result in a specific case.

Theorem

Let $B = x^2$ be the multiplication operator.

1) For every $\psi \in B_{H_{(0)}^3}(\phi_1, 1.5 \cdot 10^{-3})$ there exists

$u \in L^2((0, \frac{4}{3\pi}), \mathbb{R})$ s.t.

$$\Gamma_{\frac{4}{3\pi}}^u \psi = \phi_1$$

Main result

Let us present the main result in a specific case.

Theorem

Let $B = x^2$ be the multiplication operator.

1) For every $\psi \in B_{H_{(0)}^3}(\phi_1, 1.5 \cdot 10^{-3})$ there exists $u \in L^2((0, \frac{4}{3\pi}), \mathbb{R})$ s.t.

$$\Gamma_{\frac{4}{3\pi}}^u \psi = \phi_1$$

2) Let $u_n(t) = n^{-1} \cos(3\pi^2 t)$. If $n = 7 \cdot 10^{63}$, then there exists $\theta \in \mathbb{R}$ s.t. for $T_n = \frac{9\pi^3 n}{8}$ it follows

$$\left\| \Gamma_{T_n}^{u_n} \phi_2 - e^{i\theta} \phi_1 \right\|_{H_{(0)}^3} \leq 1.5 \cdot 10^{-3}.$$

Main result

Let us present the main result in a specific case.

Theorem

Let $B = x^2$ be the multiplication operator.

1) For every $\psi \in B_{H_{(0)}^3}(\phi_1, 1.5 \cdot 10^{-3})$ there exists $u \in L^2((0, \frac{4}{3\pi}), \mathbb{R})$ s.t.

$$\Gamma_{\frac{4}{3\pi}}^u \psi = \phi_1$$

2) Let $u_n(t) = n^{-1} \cos(3\pi^2 t)$. If $n = 7 \cdot 10^{63}$, then there exists $\theta \in \mathbb{R}$ s.t. for $T_n = \frac{9\pi^3 n}{8}$ it follows

$$\left\| \Gamma_{T_n}^{u_n} \phi_2 - e^{i\theta} \phi_1 \right\|_{H_{(0)}^3} \leq 1.5 \cdot 10^{-3}.$$

3) Thus $\exists u^{icl} \in L^2((0, \frac{4}{3\pi}), \mathbb{R})$ s.t.

$$\Gamma_{\frac{4}{3\pi}}^{u^{icl}} \Gamma_{T_n}^{u_n} \phi_2 = e^{i\theta} \phi_1.$$

The previous theorem can be stated for

- any generic couple ϕ_j and ϕ_k , eigenfunctions of A
- any operator B bounded symmetric and satisfying the following assumptions.

The previous theorem can be stated for

- any generic couple ϕ_j and ϕ_k , eigenfunctions of A
- any operator B bounded symmetric and satisfying the following assumptions.

Assumptions

- 1 for every $k \in \mathbb{N}$, $\exists C_k > 0$ s.t. $|\langle \phi_j, B\phi_k \rangle| \geq \frac{C_k}{j^3}$, $\forall j \in \mathbb{N}$;
- 2 $B : D(A) \rightarrow D(A)$ and $\text{Ran}(B|_{H^3_{(0)}}) \subseteq H^3 \cap H^1_0$.

Sketch of the proof

1) Let $A_k(u) = \Gamma_T^u \phi_k \in H_{(0)}^3$, $F_k(v) = d_u A_k(u=0) \cdot v$. We prove the surjectivity of $F_k : X \rightarrow H_{(0)}^3$ for $X := \overline{\text{span}_{l \in \mathbb{N}} \{e^{i\pi^2 l^2 t}\}}^{L^2}$.

Sketch of the proof

1) Let $A_k(u) = \Gamma_T^u \phi_k \in H_{(0)}^3$, $F_k(v) = d_u A_k(u=0) \cdot v$. We prove the surjectivity of $F_k : X \rightarrow H_{(0)}^3$ for $X := \overline{\text{span}_{l \in \mathbb{N}} \{e^{i\pi^2 l^2 t}\}}^{L^2}$.

2) Let M_1 s.t. $\forall u, v \in X / \text{Ker}(F_k)$

$$\|F_k(u) - F_k(v)\|_{H_{(0)}^3} \geq M_1 \|u - v\|_{L^2}.$$

Sketch of the proof

1) Let $A_k(u) = \Gamma_T^u \phi_k \in H_{(0)}^3$, $F_k(v) = d_u A_k(u=0) \cdot v$. We prove the surjectivity of $F_k : X \rightarrow H_{(0)}^3$ for $X := \overline{\text{span}_{l \in \mathbb{N}} \{e^{i\pi^2 l^2 t}\}}^{L^2}$.

2) Let M_1 s.t. $\forall u, v \in X / \text{Ker}(F_k)$

$$\|F_k(u) - F_k(v)\|_{H_{(0)}^3} \geq M_1 \|u - v\|_{L^2}.$$

3) Let H_k be a map s.t.

$$A_k(u) = e^{-iAT} \phi_k + F_k(u) + H_k(u).$$

Sketch of the proof

1) Let $A_k(u) = \Gamma_T^u \phi_k \in H_{(0)}^3$, $F_k(v) = d_u A_k(u=0) \cdot v$. We prove the surjectivity of $F_k : X \rightarrow H_{(0)}^3$ for $X := \overline{\text{span}_{l \in \mathbb{N}} \{e^{i\pi^2 l^2 t}\}}^{L^2}$.

2) Let M_1 s.t. $\forall u, v \in X / \text{Ker}(F_k)$

$$\|F_k(u) - F_k(v)\|_{H_{(0)}^3} \geq M_1 \|u - v\|_{L^2}.$$

3) Let H_k be a map s.t.

$$A_k(u) = e^{-iAT} \phi_k + F_k(u) + H_k(u).$$

4) Let $U = B(0, r) \subset X / \text{Ker}(F_k)$ s.t. $\forall u, v \in U$

$$\|H_k(u) - H_k(v)\|_{H_{(0)}^3} \leq \frac{M_1}{2} \|u - v\|_{L^2}.$$

5) F_k is an homeomorphism and $A_k : U \rightarrow A_k(U)$ too. Moreover

$$A_k(U) \supset B_{H_{(0)}^3} \left(\phi_k, \frac{M_1}{2} r \right).$$

5) F_k is an homeomorphism and $A_k : U \rightarrow A_k(U)$ too. Moreover

$$A_k(U) \supset B_{H_{(0)}^3} \left(\phi_k, \frac{M_1}{2} r \right).$$

6) (Chambrion, 2012) Let ϕ_j , we compute $u_n, T_n, R_n^{j,k}$ s.t.

$$\lim_{n \rightarrow \infty} R_n^{j,k} = 0, \quad 1 - |\langle \phi_k, \Gamma_{T_n}^{u_n} \phi_j \rangle| \leq R_n^{j,k}.$$

5) F_k is an homeomorphism and $A_k : U \rightarrow A_k(U)$ too. Moreover

$$A_k(U) \supset B_{H_{(0)}^3} \left(\phi_k, \frac{M_1}{2} r \right).$$

6) (Chambrion, 2012) Let ϕ_j , we compute $u_n, T_n, R_n^{j,k}$ s.t.

$$\lim_{n \rightarrow \infty} R_n^{j,k} = 0, \quad 1 - |\langle \phi_k, \Gamma_{T_n}^{u_n} \phi_j \rangle| \leq R_n^{j,k}.$$

7) $\exists \theta \in \mathbb{R}$ s.t. $\|\Gamma_{T_n}^{u_n} \phi_j - e^{i\theta} \phi_k\|_{\mathcal{H}}^2 \leq 2R_n^{j,k} + (R_n^{j,k})^2$.

5) F_k is an homeomorphism and $A_k : U \rightarrow A_k(U)$ too. Moreover

$$A_k(U) \supset B_{H^3_{(0)}}\left(\phi_k, \frac{M_1}{2}r\right).$$

6) (Chambrion, 2012) Let ϕ_j , we compute $u_n, T_n, R_n^{j,k}$ s.t.

$$\lim_{n \rightarrow \infty} R_n^{j,k} = 0, \quad 1 - |\langle \phi_k, \Gamma_{T_n}^{u_n} \phi_j \rangle| \leq R_n^{j,k}.$$

7) $\exists \theta \in \mathbb{R}$ s.t. $\|\Gamma_{T_n}^{u_n} \phi_j - e^{i\theta} \phi_k\|_{\mathcal{H}}^2 \leq 2R_n^{j,k} + (R_n^{j,k})^2$.

8) By interpolation and propagation of regularity $\exists \tilde{R}_n^{j,k}$ s.t.

$$\lim_{n \rightarrow \infty} \tilde{R}_n^{j,k} = 0, \quad \|\Gamma_{T_n}^{u_n} \phi_j - e^{i\theta} \phi_k\|_{H^3_{(0)}}^2 \leq \tilde{R}_n^{j,k}.$$

5) F_k is an homeomorphism and $A_k : U \rightarrow A_k(U)$ too. Moreover

$$A_k(U) \supset B_{H_{(0)}^3} \left(\phi_k, \frac{M_1}{2} r \right).$$

6) (Chambrion, 2012) Let ϕ_j , we compute $u_n, T_n, R_n^{j,k}$ s.t.

$$\lim_{n \rightarrow \infty} R_n^{j,k} = 0, \quad 1 - |\langle \phi_k, \Gamma_{T_n}^{u_n} \phi_j \rangle| \leq R_n^{j,k}.$$

7) $\exists \theta \in \mathbb{R}$ s.t. $\|\Gamma_{T_n}^{u_n} \phi_j - e^{i\theta} \phi_k\|_{\mathcal{H}}^2 \leq 2R_n^{j,k} + (R_n^{j,k})^2$.

8) By interpolation and propagation of regularity $\exists \tilde{R}_n^{j,k}$ s.t.

$$\lim_{n \rightarrow \infty} \tilde{R}_n^{j,k} = 0, \quad \|\Gamma_{T_n}^{u_n} \phi_j - e^{i\theta} \phi_k\|_{H_{(0)}^3}^2 \leq \tilde{R}_n^{j,k}.$$

9) We estimate n^* s.t. $\Gamma_{T_{n^*}}^{u_{n^*}} \phi_j \in B_{H_{(0)}^3} \left(e^{i\theta} \phi_k, \frac{M_1}{2} r \right)$.

Moving forward

- Minimize the time for the global exact controllability by optimizing the constants adopted in the proof.

Moving forward

- Minimize the time for the global exact controllability by optimizing the constants adopted in the proof.
- Provide better estimates on the control functions of the local exact controllability.

Moving forward

- Minimize the time for the global exact controllability by optimizing the constants adopted in the proof.
- Provide better estimates on the control functions of the local exact controllability.
- Adopt the same techniques of the work in order to establish times and controls for the simultaneous global exact controllability.

Thank you for your attention!